

Can We Deliver Patient-Centered Sepsis Care While Achieving SEP-1 Targets?

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Agenda

- The Challenge of Sepsis and SEP-1 Compliance
- Cytovale Cellular Host Response Test: IntelliSep
- IntelliSep Clinical Data

The Challenge of Sepsis

Sepsis is a Medical Emergency That Needs An Objective, Rapid, Early Detection Tool

Sepsis is the leading cause of death in U.S. hospitals¹



80%
Of sepsis cases
present to ED²⁻³



2x
Number of Stroke &
Heart Attack cases



1 in 5
Of 150M+ ED
patient visits are
at risk of sepsis



Sepsis has **2x** cases than
Stroke & Heart Attack
combined

Today, no standardized care
pathway exists to evaluate
potentially septic patients
because there's
**no objective, actionable early
detection tool available**

¹Sepsis: Clinical Information." Centers for Disease Control and Prevention, 2020. <https://www.cdc.gov/sepsis/clinicaltools/>. Accessed Jan 30, 2023.

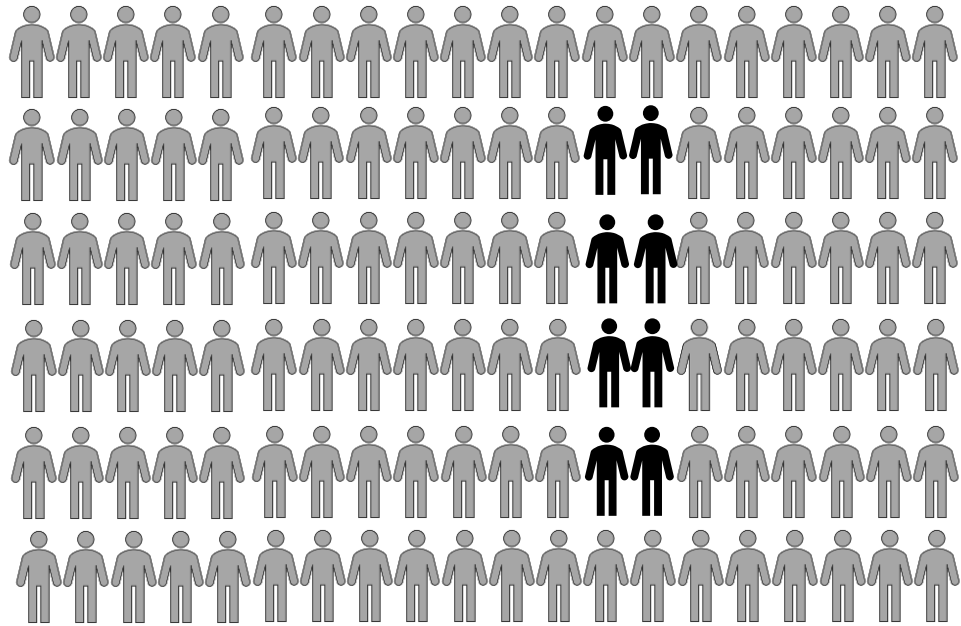
²Wang HE, Jones AR, Donnelly JP. Revised national estimates of emergency department visits for sepsis in the United States. Crit Care Med. 2017;45:1443-1449.

³Rhee C, Dantes R, Epstein L, et al. Incidence and trends of sepsis in US hospitals using clinical vs claims data, 2009-2014. JAMA. 2017;318:1241-1249.

Challenge of Potentially Infected Patients in the ED

80% of Sepsis patients present to the ED

However, sepsis patients are **masked** by a much larger cohort of suspected infection patients



ED Quandary

Limited Information

+

Limited Time

Challenging Situation for ED

- **Under diagnosis/ Missed Treatment**
 - Rapid clinical deterioration/ risks of organ damage
 - Potential for readmission
 - Quality metrics -> reimbursement
- **Over diagnosis/ Over Treatment**
 - Increased costs/resource utilization
 - ED Throughput
 - IV vs Oral Abx

SEP-1 Compliance Mandate

CMS SEP-1 Compliance

- CMS Hospital Quality Initiative based on Surviving Sepsis Guidelines
- Early Management Bundle, Severe Sepsis/Septic Shock Measure (SEP-1)
Began measuring Compliance in 2015
- Compliance Measured as follows:

Patients Receiving Sepsis
Bundle Delivery within time thresholds*

$$\text{SEP-1 Compliance} = \frac{\text{Patients Receiving Sepsis Bundle Delivery within time thresholds*}}{\text{Patients Diagnosed or Likely to have been Septic}}$$

** All or nothing (e.g. Must receive all elements in specified time or no credit given)*

SEP-1 Bundle Delivery – All or nothing

Received
Bundle

Severe Sepsis Bundle	Septic Shock Bundle
Blood Cultures Collection (w/in 3h)	Blood Cultures Collection (w/in 3h)
Broad Spectrum Abx Admin (w/in 3h)	Broad Spectrum Abx Admin (w/in 3h)
Serial Lactate (w/in 3h, 6h)	Serial Lactate (w/in 3h, 6h)
	Fluid Administration w/in 3h
	Vasopressors w/in 6h (if hypotension persists)

SEP-1 = Received Bundle
Compliance Dx as Septic

SEP-1: Patients Diagnosed as Septic

SEP-1 Compliance = Received Bundle
Dx as Septic

- ICD-10-CM Principal or Other Discharge Diagnosis Code of Sepsis, Severe Sepsis, or Septic Shock
- Chart Abstraction (20% or a minimum)
 - Provider documentation of “Sepsis”
 - Documentation of Suspected Infection, 2+ SIRS, Organ Dysfunction (*details in “time zero” slide*)

When does the SEP-1 clock start? (aka When is *time zero*?)

- Documentation by a provider of Severe Sepsis or Septic Shock

OR

- Last point where all 3 conditions met (w/in 6h of each other)*:
 1. Documentation referencing an infection
 2. 2 or more SIRS Criteria (not associated with other known shock)
(e.g. Temperature > 38°C or < 36°C, Heart Rate >90bpm, Respiratory Rate >20 bpm, White Count > 12,000 or < 4,000 or > 10% bands)
 3. New onset organ dysfunction (w/in 24h of presentation)
(e.g. Lactate >2, SBP < 90 mmHg or MAP < 65 mmHg, Respiratory support, Creatinine level, Bilirubin level, Urine output, Platelet count, Cognitive fxn)

**additional requirements for Septic Shock*

2023 Changes

- After tracking SEP-1 Compliance rates since 2015, CMS will now integrate SEP-1 Compliance into the Value Based Purchasing (VBP) Program
- It will become part of the Safety Metrics (25% of total), alongside 6 others (25%/7 ~4%)
- VBP targeted to 2.8% of total CMS spend

Case Study: Community Hospital in the West

250 beds
 ~\$1.8B Rev/yr
 60% CMS



Potential Impact
 ~\$1M/y

FY 2023 Hospital Value-Based Purchasing Program Quick Reference Guide
 Payment adjustment effective for discharges from October 1, 2022 to September 30, 2023

Clinical Outcomes	Mortality Measures		Performance Period		25%	
	Measure ID	Measure Name	Achievement Threshold	Benchmark		
Clinical Outcomes	MORT-30-AMI	Acute Myocardial Infarction 30-Day Mortality	0.866548	0.885499	25%	
	MORT-30-CABG	Coronary Artery Bypass Graft Surgery 30-Day Mortality	0.968747	0.979620		
	MORT-30-COPD	Chronic Obstructive Pulmonary Disease 30-Day Mortality	0.919769	0.936349		
	MORT-30-HF	Heart Failure 30-Day Mortality	0.881939	0.906798		
	MORT-30-PN	Pneumonia 30-Day Mortality	0.840138	0.871741		
	Complication Measure		Performance Period			25%
↓ COMP-HIP-KNEE	Total Hip Arthroplasty/Total Knee Arthroplasty Complication	0.027428	0.019779			
Person and Community Engagement	Baseline Period		Performance Period		25%	
	Jan. 1, 2019–Dec. 31, 2019		Jan. 1, 2021–Dec. 31, 2021			
	HCAHPS Survey Dimensions		Floor (%)	Achievement Threshold (%)		Benchmark (%)
	Communication with Nurses		53.50	79.42		87.71
	Communication with Doctors		62.41	79.83		87.97
	Responsiveness of Hospital Staff		40.40	65.52		81.22
	Communication about Medicines		39.82	63.11		74.05
	Hospital Cleanliness and Quietness		45.94	65.63		79.64
	Discharge Information		66.92	87.23		92.21
	Care Transition		25.64	51.84		63.57
Overall Rating of Hospital		36.31	71.66	85.39		
Safety	Patient Safety Composite		Performance Period		25%	
	Baseline Period		July 1, 2019–June 30, 2021*			
	Oct. 1, 2019–June 30, 2017		Jan. 1, 2021–Dec. 31, 2021			
	★ ↓ PSI 90	Patient Safety and Adverse Events Composite	0.963400	0.761590		
	Healthcare-Associated Infections		Performance Period			
	Baseline Period		Jan. 1, 2021–Dec. 31, 2021			
	Jan. 1, 2019–Dec. 31, 2019		Jan. 1, 2021–Dec. 31, 2021			
	↓ CAUTI	Catheter-Associated Urinary Tract Infection	0.650	0.000		
	↓ CDI	Clostridium difficile Infection	0.520	0.014		
	↓ CLABSI	Central Line-Associated Bloodstream Infection	0.589	0.000		
↓ MRSA	Methicillin-Resistant Staphylococcus aureus	0.726	0.000			
↓ SSI	Colon Surgery Abdominal Hysterectomy	0.717	0.000			
Efficiency and Cost Reduction	Baseline Period		Performance Period		25%	
	Jan. 1, 2019–Dec. 31, 2019		Jan. 1, 2021–Dec. 31, 2021			
↓ MSPB	Medicare Spending per Beneficiary	Median MSPB ratio across all hospitals during the performance period	Mean of lowest decile of MSPB ratios across all hospitals during the performance period			

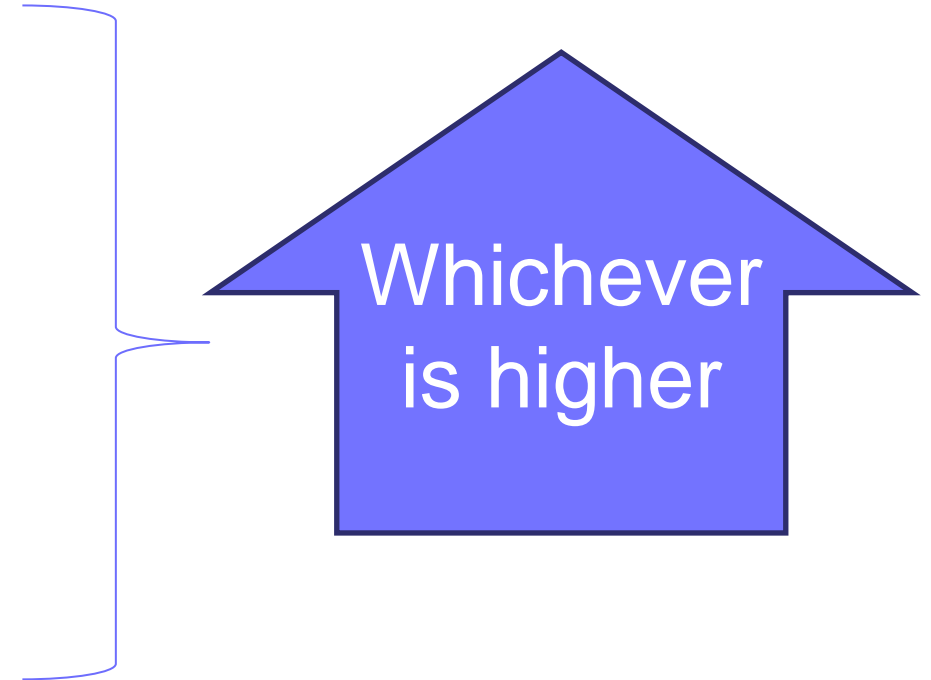
Note: 2024 may differ from the above

What are we compared to?

Pay for Performance
(Relative to benchmark of other hospitals)

OR

Pay for Improvement
(Relative to baseline performance of your hospital)



SEP-1 Compliance Rates

Average SEP-1 Bundle Compliance Rate¹

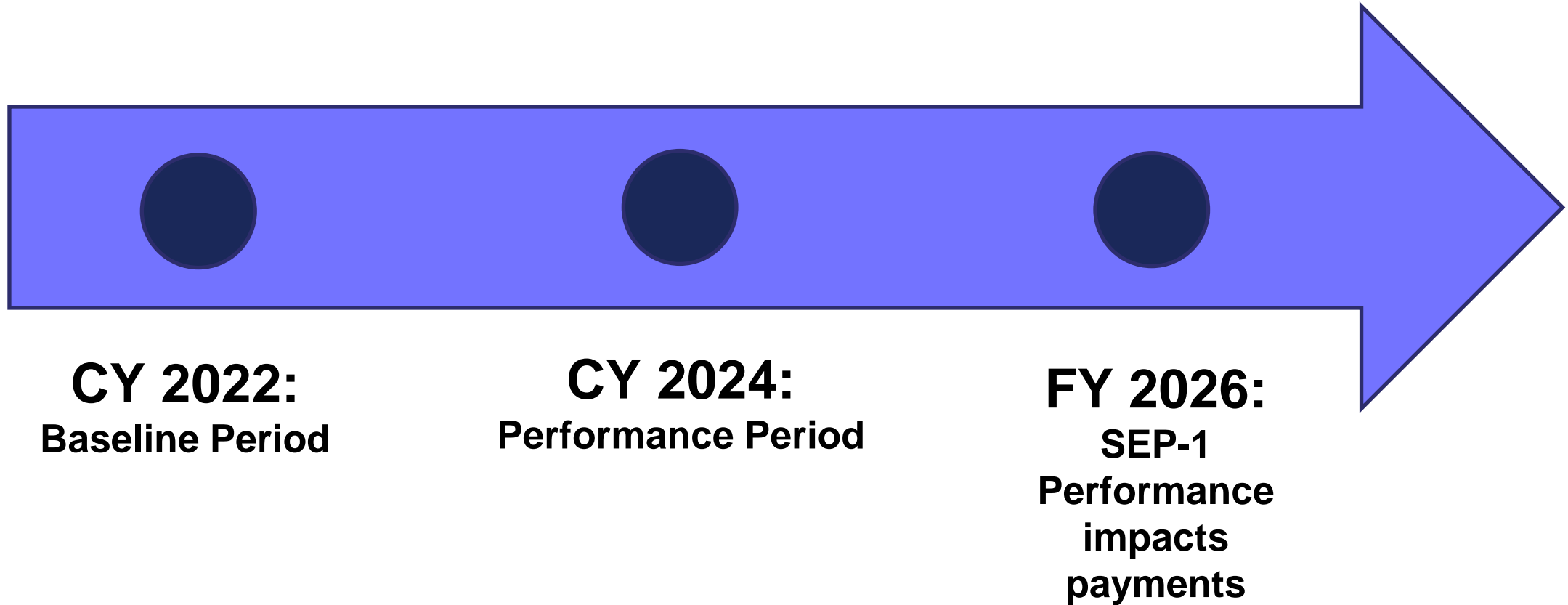
50%

SEP-1

Compliance Failure Driven By:

- Failure to Identify Sepsis
- Incomplete Bundle Delivery
- Failure to Deliver Bundle In Time

Timeframe



How do we detect sepsis today?

Provider judgement often a key determinant of initiation of sepsis care...

How good are providers at recognizing sepsis?

Critical Care Providers (2016 study)¹

- Background/Methods

- 94 Critical Care Providers
 - 90% academic
 - 83% felt strongly or somewhat confident in their ability to apply consensus sepsis definitions
- Each presented 5 case vignettes (including initial presentation and subsequent hospital course)
- 1 “control” case of septic shock with gram negative bacteremia included for baselining
- Asked to classify as: SIRS, Sepsis, Severe Sepsis, Septic Shock or None



- Findings

- Considering all cases, overall interrater **“agreement was poor”**
- For 4/5 test cases (removing the control case), agreement was **“nearly random”**

Provider judgement often a key determinant of initiation of sepsis care...

How good are providers at recognizing sepsis?

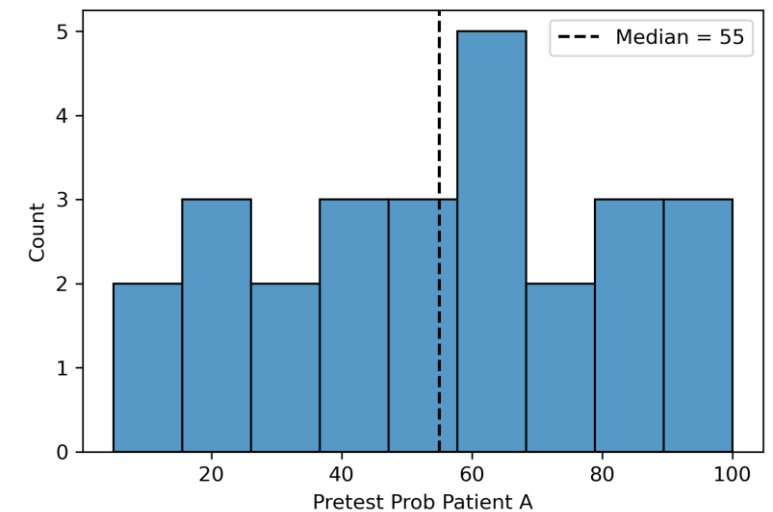
Providers with Sepsis Expertise – primarily ED (2023 study)¹

Background/Methods

- 26 Providers involved in sepsis research
 - 92% academic environment
 - 56% Emergency Medicine, 22% Critical Care, 11% Lab Medicine, 11% ID/Pharmacy
- Each presented 2 case vignettes (Presentation, Initial Labs – including CBC, Lactate)
- Asked to provide a likelihood of sepsis (0%-100%)

Findings

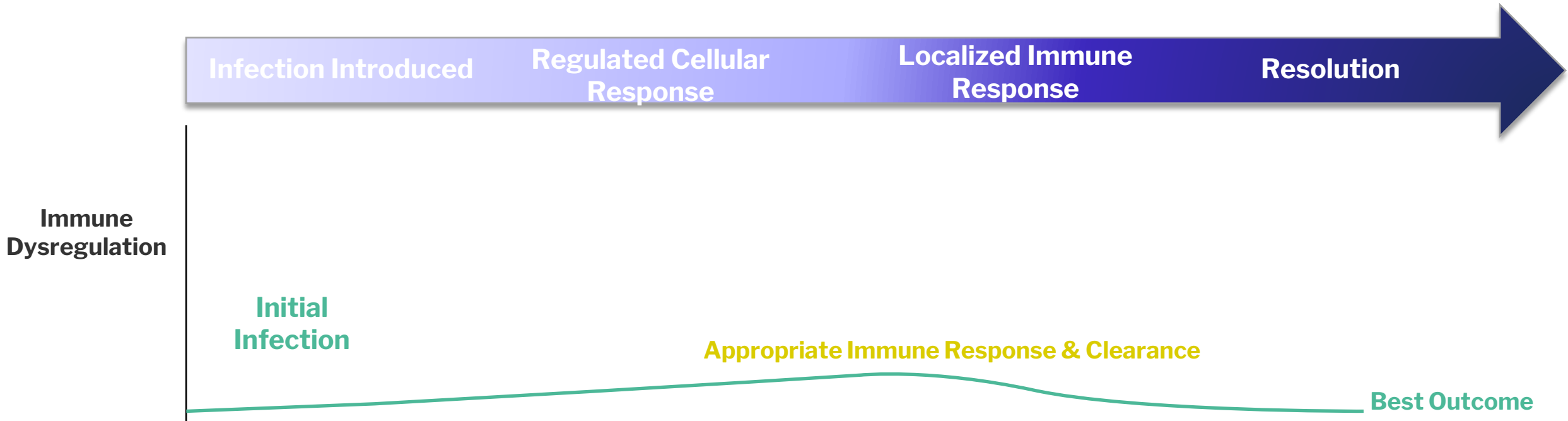
- Perceived likelihood of sepsis ranged 10-90% for both cases
- Very Low level of agreement for either case



Cytovale Cellular Host Response Test: IntelliSep

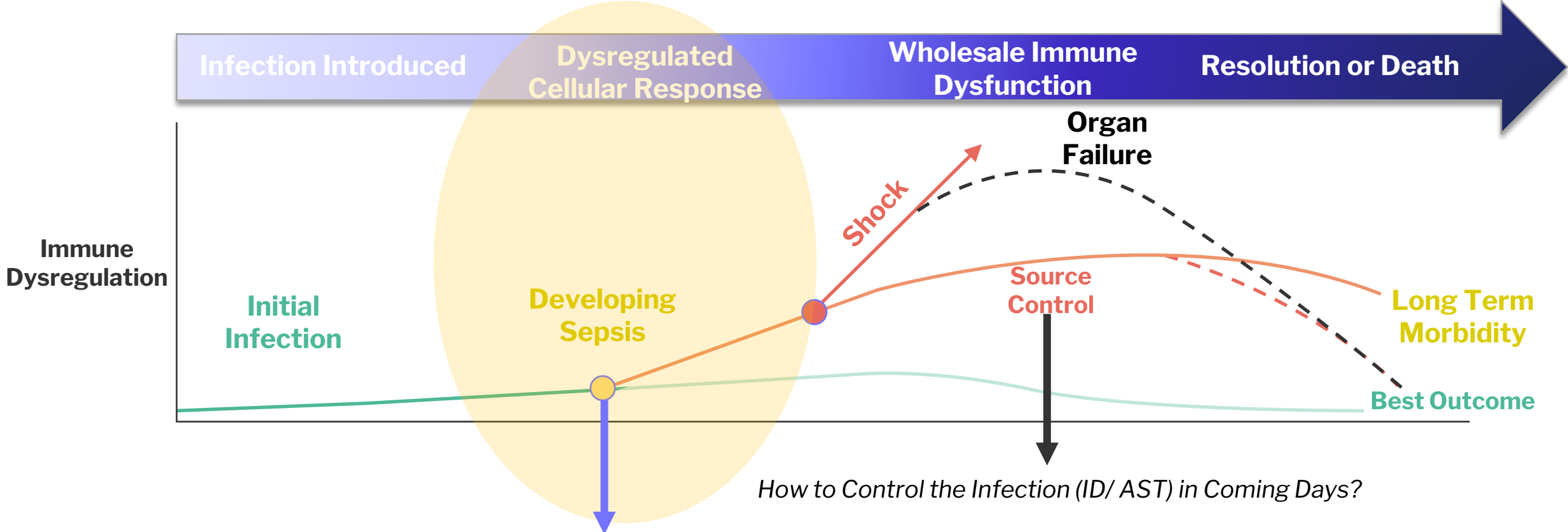
Sepsis is Not an Infection

Typical Infection Progression



Sepsis is a Dysregulated Immune Response to Infection

Infection Progression – Dysregulated Immune Response¹⁻²

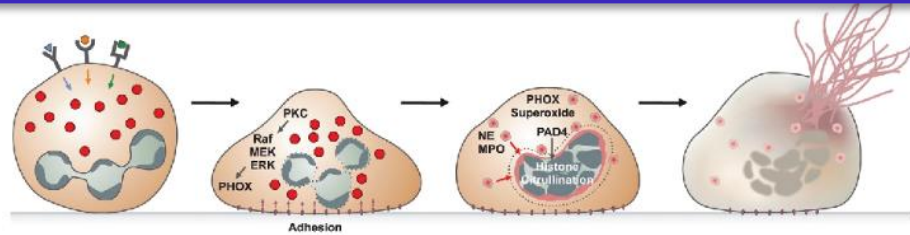


Are we dealing with a medical emergency?

Dysregulated Immune Cell Response Is the Causal Link Underlying Sepsis

Activation state is measurable by cell mechanics

Neutrophil Extracellular Trap (NETs) Formation¹



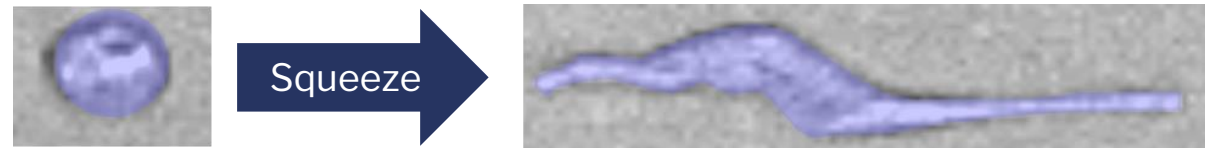
Brinkmann, J. Cell Biology, 2012

Squeezing Cells Reveals Nuclear Architecture

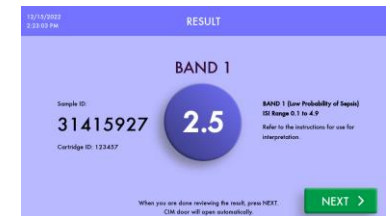
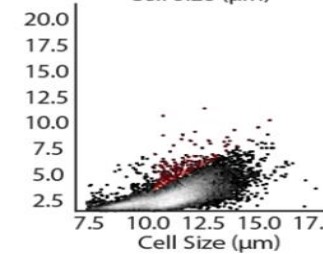
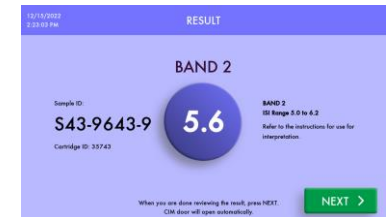
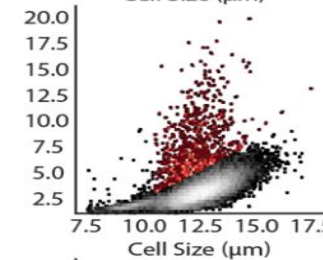
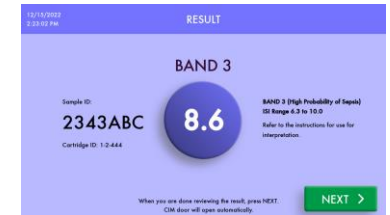
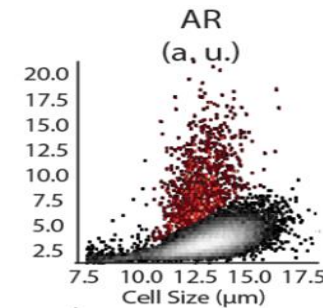
White blood cells from a healthy donor



White blood cells from a septic patient



From Video to IntelliSep Index



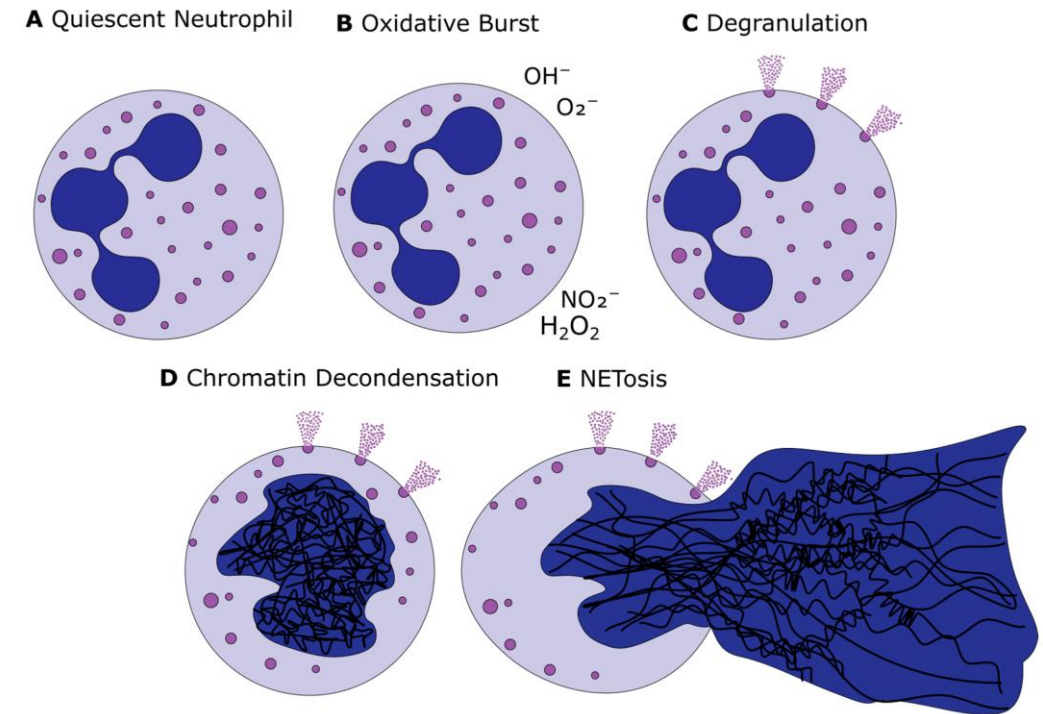
Dysregulated Immune Response = Activated Cells in Circulation

Neutrophil extracellular traps (NETs) Overview

- During immune activation, leukocytes respond by releasing **neutrophil extracellular traps (NETs)** into the extracellular space which physically capture and kill or impair invading microbes¹⁻³
- An increase in NET formation has been **documented in septic patients**, and high concentrations of NETs have been shown to be **associated with tissue damage**.

The ability to measure these biophysical changes that signal immune dysregulation could be key in **guiding better clinical care in sepsis**⁴.

NETs Formation Visual⁵



¹Mayadas TN, Cullere X, Lowell CA. The Multifaceted Functions of Neutrophils. *Annu Rev Pathol Mech Dis.* 2014;9: 181–218. doi:10.1146/annurev-pathol-020712-164023

²Brinkmann V, Reichard U, Goosmann C, Fauler B, Uhlemann Y, Weiss DS, et al. Neutrophil extracellular traps kill bacteria. *science.* 2004;303: 1532–1535.

³Granger V, Faille D, Marani V, Noël B, Gallais Y, Szely N, et al. Human blood monocytes are able to form extracellular traps. *Journal of Leukocyte Biology.* 2017;102: 775–781. doi:10.1189/jlb.3MA0916-411R

⁴⁻⁵Sorrells M, Seo Y, Magnen M, et al., *Biophysical Changes of Leukocyte Activation (and NETosis) in the Cellular Host Response to Sepsis.* *Diagnostics.* 2023; 13(8):1435. <https://doi.org/10.3390/diagnostics13081435>

Providing a Probability of Sepsis in <10 Minutes

The IntelliSep Index

ISI Range: 0.1-10.0



Band 1

Low Probability of Sepsis

(ISI: 0.1-4.9)

Band 2*

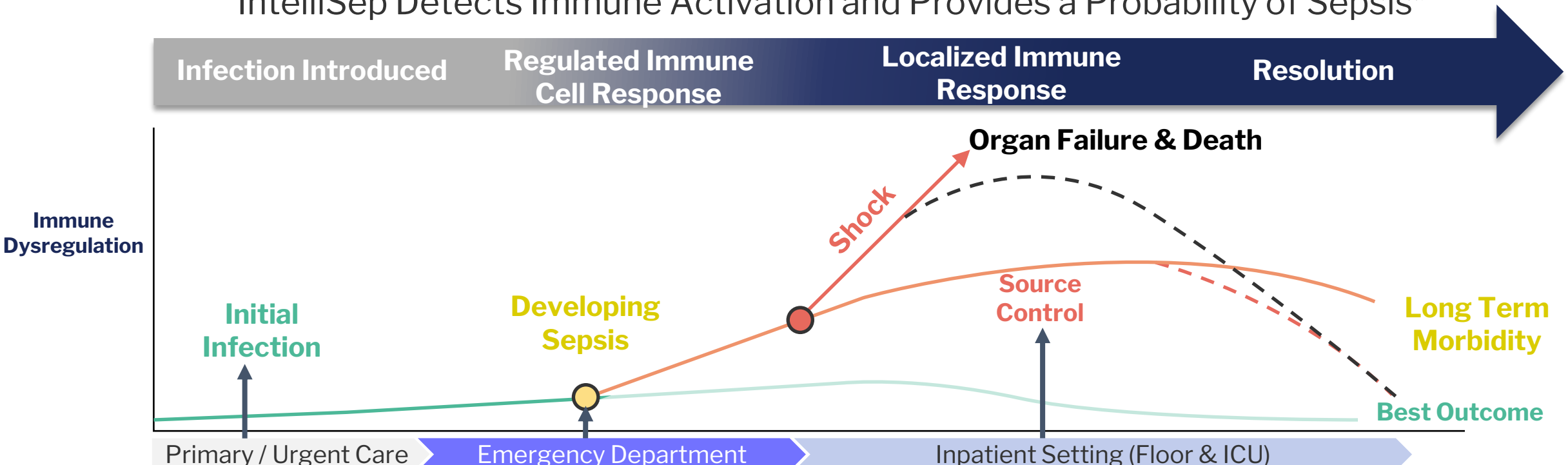
High Probability of Sepsis

(ISI: 6.3-10.0)

**All results should be interpreted in the context of the other clinical observations and laboratory test results for the patient.*

IntelliSep Addresses: Is This a Medical Emergency?

IntelliSep Detects Immune Activation and Provides a Probability of Sepsis*



Key Question

Is There a Treatable Infection?



Is this a Medical Emergency?



How To Control the Infection (ID / AST) in Coming Days?



IntelliSep is focused on specifically measuring the dysregulated host response

*Graphic adapted from Prof. Mervyn Singer, ECCMID 2022

¹Singer M, Deutschman CS, Seymour CW, et al. The Third International Consensus Definitions for Sepsis and Septic Shock (Sepsis-3). JAMA. 2016;315(8):801-810. doi:10.1001/jama.2016.028

How is IntelliSep Different?

Key advantages in speed, workflow and cost structure

ED Sepsis Triage Need		IntelliSep
Workflow Considerations	Time to Answer – Use in ED triage requires a turn-around time of less than 30 min – KOL Panel (<i>Kraus et.al. JACEP Open 2023</i>)	<10 minutes ability to report alongside CBC
	Throughput – ED volumes vary during the day and could require 5-10 samples to be tested per hour	>12 Samples/hr/placement (Typical placement: 2 systems)
	Fits into Clinical Workflows – Ideal solution should not require a new sample or expensive tube (e.g PaxGene tube)	Whole Blood 510(k) cleared with K2 EDTA tube
Clinical Considerations	Indicated Population – Should include the broad set of patients that the ED intends to screen for sepsis	Signs and Symptoms of Infection 510(k) cleared for use in adults
	Use Setting – 80% of sepsis patients present to the ED, Indication for use should match this use case	ED Presentation 510(k) cleared for ED Triage
	Healthy Reference Range – All healthy patients should receive the low scores	Entirely in Band 1 * (low likelihood of sepsis)
	Race Agnostic – Technology and training set should provide similar results across different races	Yes

IntelliSep Data

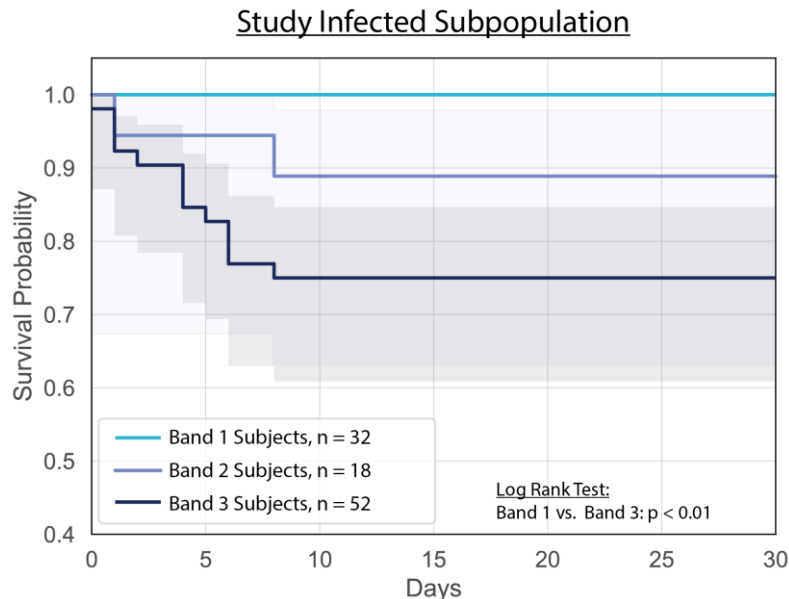
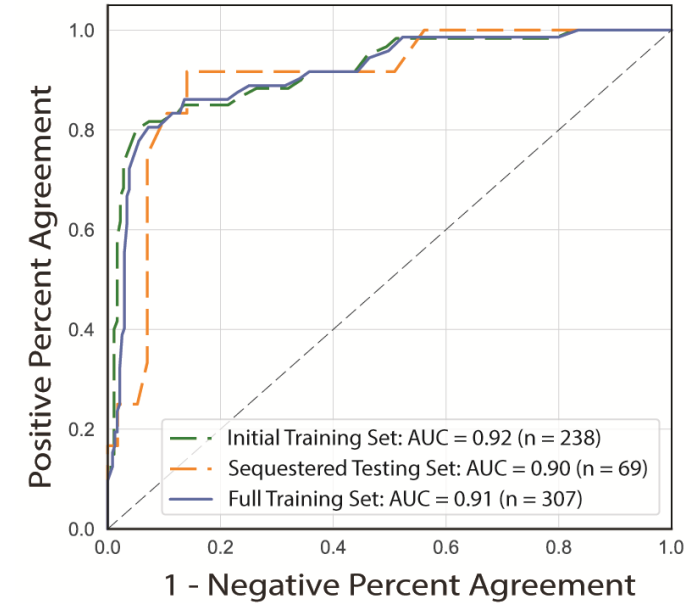
IntelliSep Performance Proven Across Multiple Studies

	2016 SQuISH	2019 Be-SQuISH-ED	2020 SQuISH-COVID	2021 CV-SQuISH-ED
Study Objective	Evaluate the system and initial sepsis model	Apply locked model to intended use population	Apply locked model to novel pathogen SARS-COV-2	Multi-center validation study for FDA Clearance
Population	300+ Patients Signs of infection & organ dysfunction in ED	255 Patients Signs or suspicion of infection in the ED	282 Patients Signs or suspicion of respiratory infection in ED	~600 Patients Signs or suspicion of infection in the ED
Key Findings	Sepsis: NPV = 96% Observed appropriate risk stratification in severity of illness and resource use Mortality: > 5-fold delta	Sepsis: NPV = 97% Severity Risk Stratification	Severity Risk Stratification Mortality: > 10-fold delta	Demonstrated the performance of IntelliSep, against blinded physician adjudication, in the early detection of sepsis
Journal	PLOS ONE	Critical Care Explorations <small>Society of Critical Care Medicine The Intensive Care Professionals</small>	PLOS ONE	<i>Manuscript In Development</i>

Data Supported by Over 2,000 Patients

SQuISH-ED: System Evaluation & Algorithm Development

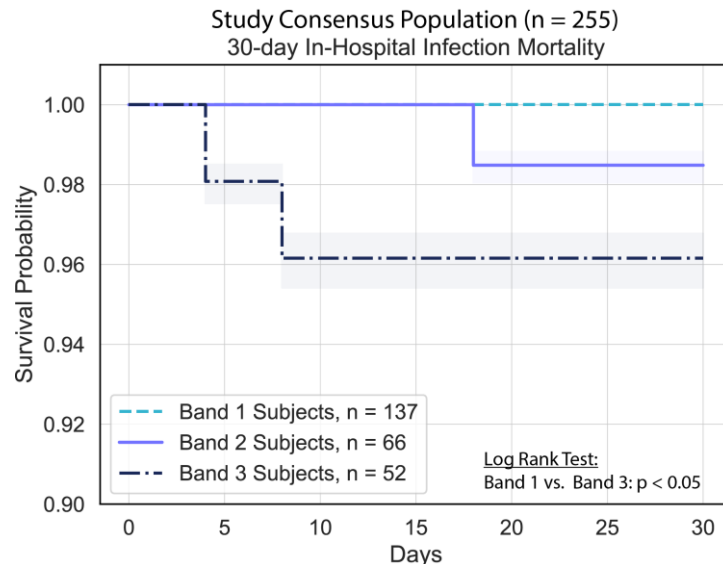
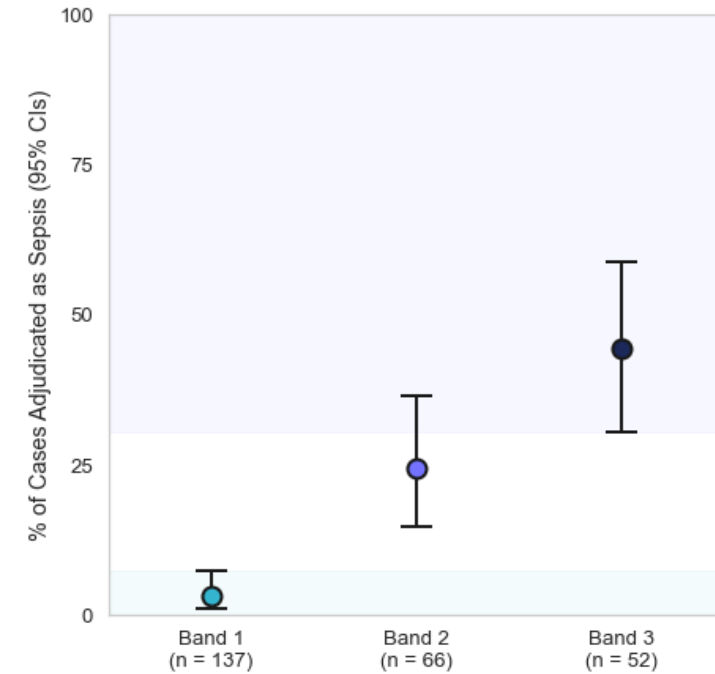
- Population of patients presenting to the ED with signs of infection organ dysfunction (N = 307, population sepsis prevalence 23%)
- Significant differences were observed in severity of illness across the Bands when compared to Sequential Organ Failure Assessment (SOFA) and APACHE II.
- No significant differences in baseline demographics (age, sex, and race) across Interpretation Bands.
- Study enabled the development of the ISI diagnostic algorithm targeting clinically actionable performance.



Test Characteristics	Value (95% CI)
AUC	0.91 (0.87 – 0.95)
Positive Percent Agreement (sensitivity): Band 1 vs. else	90.3 (81.0 – 96.0)
Negative Percent Agreement (specificity): Band 3 vs. else	95.3 (86.4 – 98.5)
Negative Predictive Value: Band 1 vs. else	95.9 (88.3 – 99.1)
Positive Predictive Value: Band 3 vs. else	82.8 (71.1 – 90.0)
LR+	15.7
LR- (1/LR-)	0.07 (14.3)

βe-SQuISH-ED: Sepsis Diagnosis in Intended Use Population

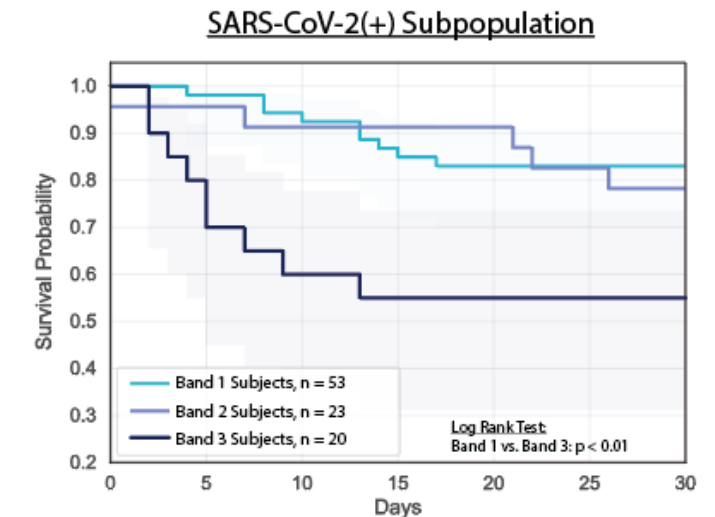
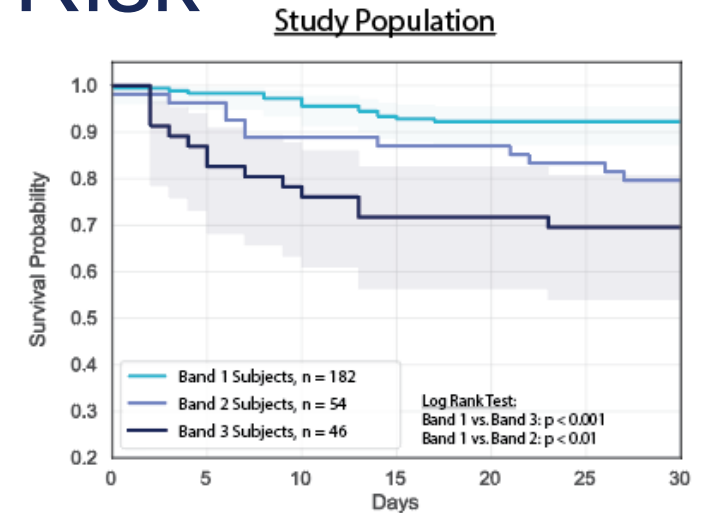
- In a population of patients presenting to the ED with signs or suspicion of infection defined as:
 - 2+ SIRS criteria where one must be aberration of WBC or temperature **OR** an order for culture of body fluid (blood, urine, sputum, etc.)
- Test performance was compared to consensus retrospective physician's adjudication (N = 255, population sepsis prevalence 17%)
- Significant differences were observed in severity of illness across the Bands when compared to Sequential Organ Failure Assessment (SOFA) and APACHE II.



Test Characteristics	Value (95% CI)
AUC	0.84 (0.79 – 0.90)
Positive Percent Agreement (sensitivity): Band 1 vs. else	90.7 (77.9 – 97.4)
Negative Percent Agreement (specificity): Band 3 vs. else	86.3 (72.1 – 94.7)
Negative Predictive Value: Band 1 vs. else	97.1 (84.2 – 99.4)
Positive Predictive Value: Band 3 vs. else	44.2 (29.1 – 60.1)
LR+	3.91
LR- (1/LR-)	0.15 (6.7)

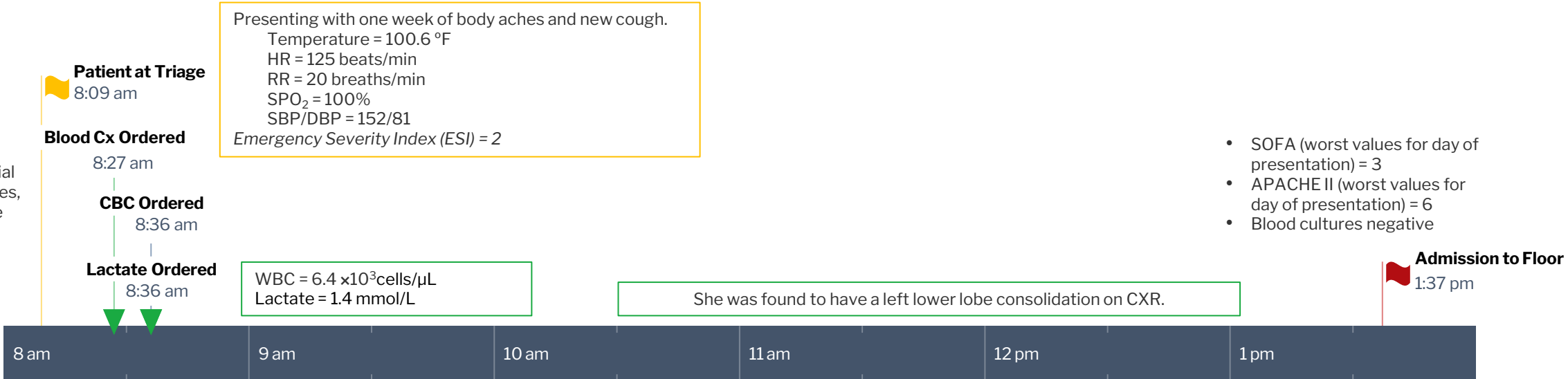
SQuISH-COVID: Morbidity & Mortality Risk

- In a population of patients presenting to the ED with signs or suspicion of respiratory infection.
- Significant differences in survival were observed across the bands, with a greater than 10-fold difference in 7-day mortality between Band 1 (1.6%) and Band 3 (19.6%) patients.
- Bands were observed to correlate strongly with severity of illness metrics (mortality, SOFA and APACHE II scores) and hospital care metrics (hospital admission, ICU admission and transfer, positive blood cultures, and antibiotic administration).
- Band 3 patients were more likely to need supplemental oxygen, vasopressors, and ICU admission within 3-day of ED presentation, compared to Band 1 patients.
- Appropriate risk-stratification of patients independent of demographic groups (age, sex, race) or common comorbidities (hypertension, diabetes, obesity).

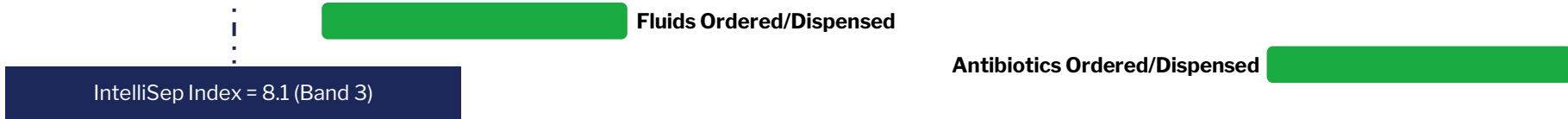


Case Example 1

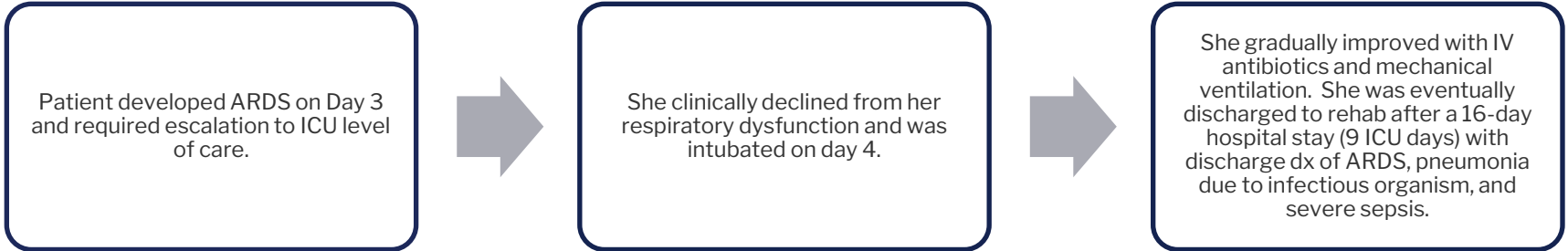
42-year-old female
 hx of CVA (intracranial hemorrhage), diabetes, autoimmune disease (SLE, PA) and hypertension.



- SOFA (worst values for day of presentation) = 3
- APACHE II (worst values for day of presentation) = 6
- Blood cultures negative



ED Diagnosis: pneumonia of the left lower lobe due to infectious organism, hemoptysis, and dyspnea.

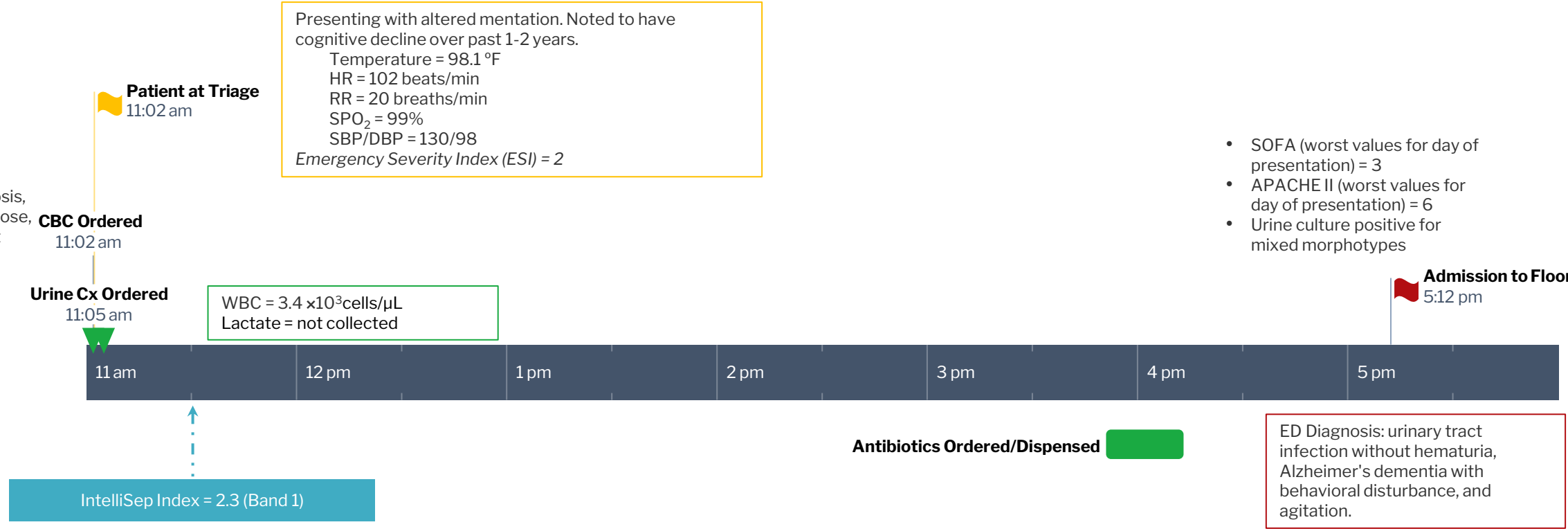


Unanimous retrospective adjudication of sepsis

Note: Cases are sourced from IntelliSep observational clinical studies (NCT04933760). Study personnel in every tier of the process were blinded to the IntelliSep test results.

Case Example 2

73-year-old female
 hx of CVA,
 hyperthyroidism,
 dementia, diverticulosis,
 impaired fasting glucose,
 leukopenia, transient
 ischemic attack, and
 hypertension.



- SOFA (worst values for day of presentation) = 3
- APACHE II (worst values for day of presentation) = 6
- Urine culture positive for mixed morphotypes

Patient received a new antipsychotic and antibiotics, however, upon retrospective chart review, urine colonization and asymptomatic bacteriuria was suspected.



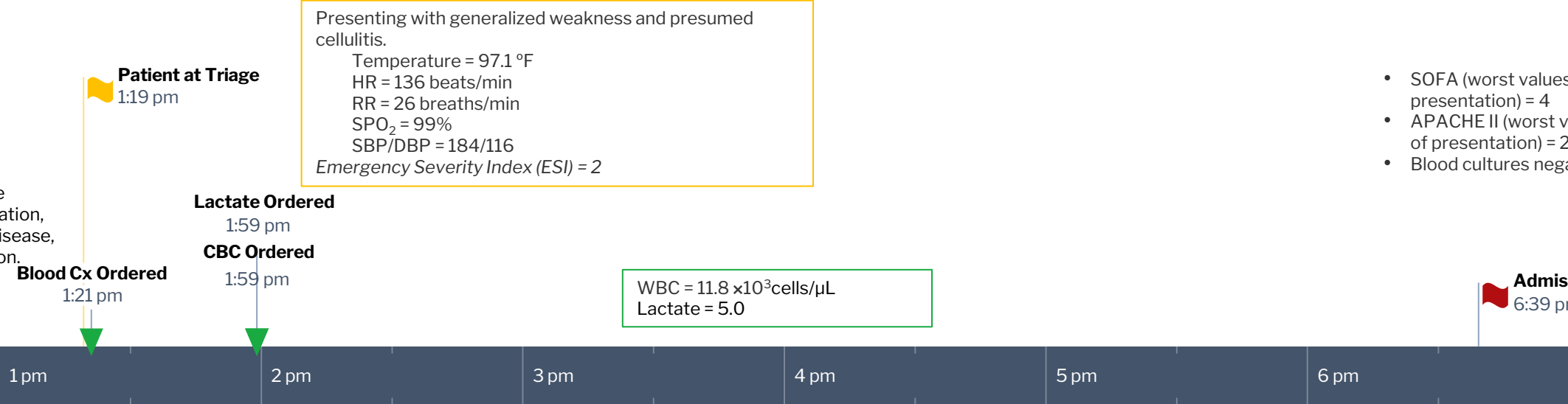
Discharged to nursing home after 9 days, with discharge dx of acute metabolic encephalopathy, volume depletion, and acute cystitis.

Unanimous retrospective adjudication of not infection

Note: Cases are sourced from IntelliSep observational clinical studies (NCT04933760). Study personnel in every tier of the process were blinded to the IntelliSep test results.

Case Example 3

67-year-old male
 hx of atrial Fibrillation,
 chronic kidney disease,
 CHF, hypertension.



Presenting with generalized weakness and presumed cellulitis.
 Temperature = 97.1 °F
 HR = 136 beats/min
 RR = 26 breaths/min
 SPO₂ = 99%
 SBP/DBP = 184/116
 Emergency Severity Index (ESI) = 2

WBC = 11.8 x10³cells/μL
 Lactate = 5.0

- SOFA (worst values for day of presentation) = 4
- APACHE II (worst values for day of presentation) = 22
- Blood cultures negative.

IntelliSep Index = 4.6 (Band 1)

Antibiotics Ordered/Administered

ED Diagnosis: cellulitis of lower extremity (unspecified laterality), lactic acid acidosis, atrial fibrillation with rapid ventricular response, sepsis with acute organ dysfunction, and septic shock

Determined to have uncontrolled atrial fibrillation (due to noncompliance with medication) which resulted in hypotension and elevated lactate along with associated hepatic/renal lab abnormalities.
 No clinically relevant cellulitis present despite chronic venous stasis dermatitis.



Rapid correction of hemodynamic abnormalities with rate control and diuresis.
 Discharged home after 3 days, with discharge dx of acute on chronic systolic heart and venous stasis dermatitis of both lower extremities.

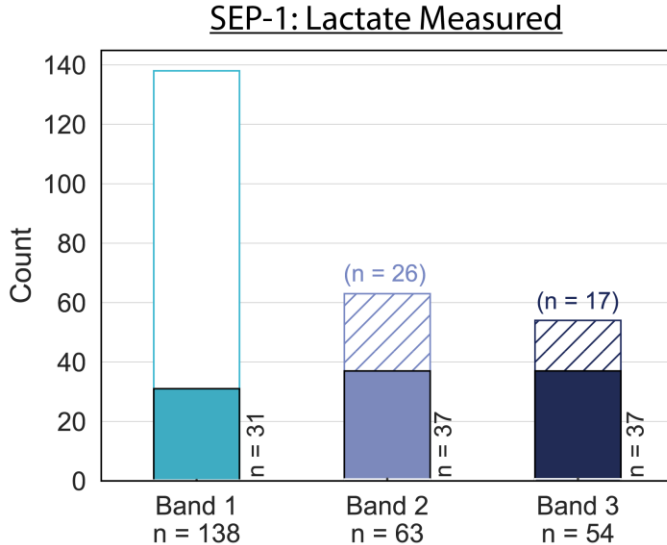
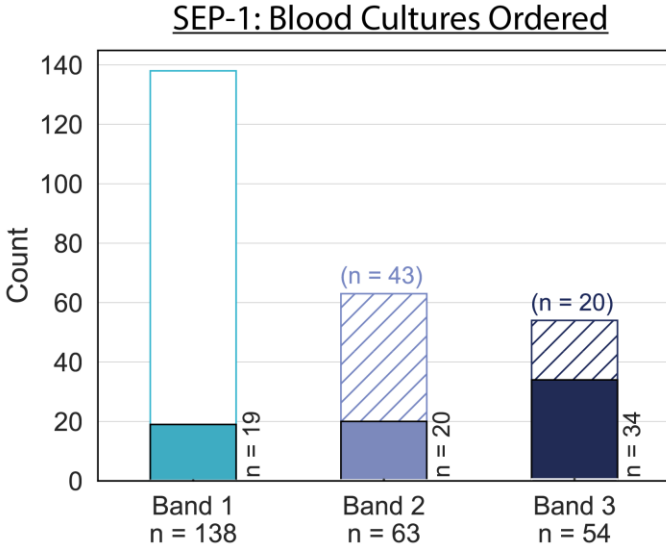
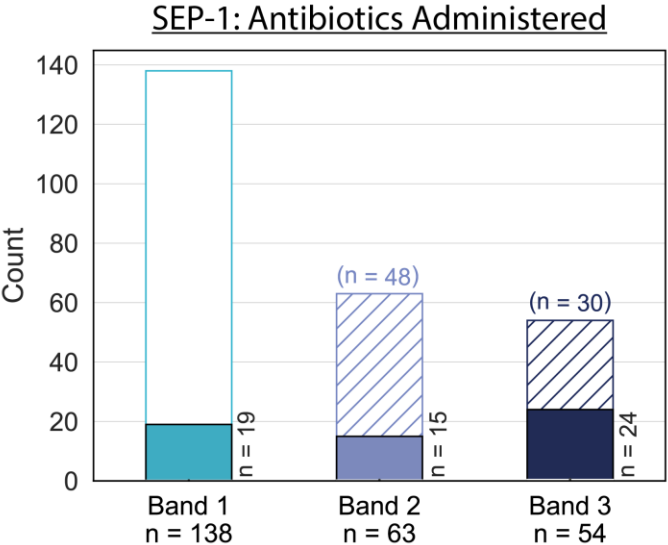
Unanimous retrospective adjudication of not infection

Note: Cases are sourced from IntelliSep observational clinical studies (NCT04933760). Study personnel in every tier of the process were blinded to the IntelliSep test results.

IntelliSep and SEP-1

ISI vs. Receiving Elements of SEP-1 3-hour Bundle in 3-hours (βe-SQulSH-ED)

- The Sepsis CMS core measure (SEP-1) requires measuring of serum lactate, obtaining blood cultures prior to antibiotics, and administering antibiotics within 3-hours of presentation for those presenting with severe sepsis (i.e., sepsis with organ dysfunction).
- SEP-1 Compliance Rate =46.5% in βe-SQulSH-ED Study
- By providing a rapid, quantitative measure of immune activation, the ISI may have the potential to offer ED clinicians an aid for rapid risk stratification of patients presenting with signs and symptoms of infection and guide appropriate compliance with the Medicare sepsis quality measure while promoting antimicrobial stewardship aims.



ISI vs. Antibiotics Delivery

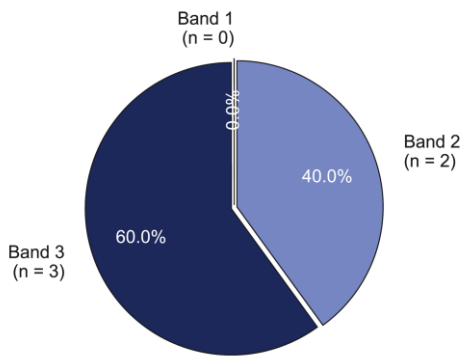
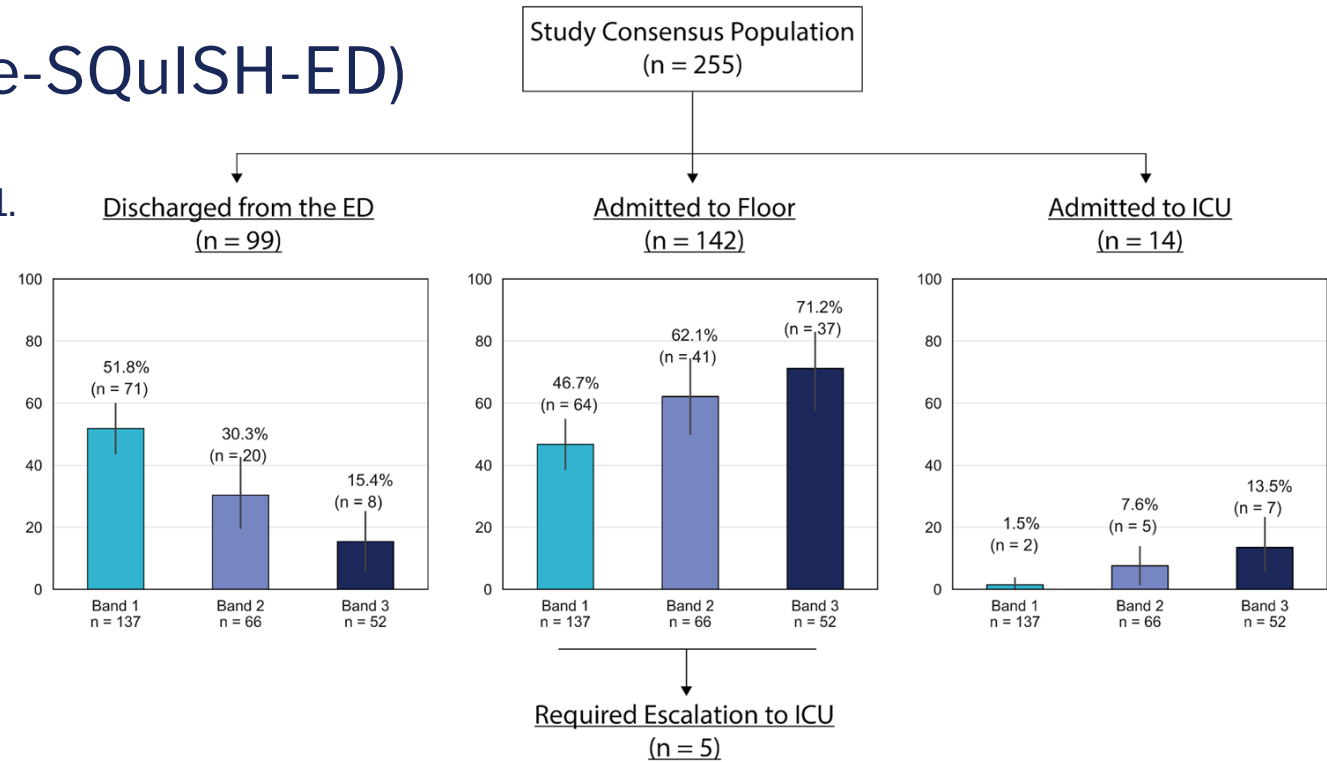
(βe-SQulSH-ED)

- Antibiotics delivered to more Band 1 patients (n=42) than those in Band 2 (n=33) or Band 3 (n=39) patients
- There may be an opportunity to focus nursing and other resources on higher risk patients rather than spreading them equally across different interpretation bands
- Late delivery of Antibiotics to Band 2 and Band 3 patients contributed to low SEP-1 compliance performance
- Improved recognition of Sepsis may enable more rapid delivery of SEP-1 Bundle elements to highest risk patients



ISI vs. Hospital Resources (βe-SQuISH-ED)

- A significantly higher percentage of subjects in Bands 2 and 3 were admitted to the hospital compared to those in Band 1.
- A significantly higher percentage of subjects in Bands 2 and 3 were directly admitted to the ICU compared to those in Band 1.
- 163 study subjects were initially admitted to the Floor, of which 5 subjects required escalation to ICU care (typically within 3 days of admission):
 - All of these subjects were in the ISI Bands 2 and 3.
- 5 study subjects returned to the ED within 7-days of discharge with an ED return diagnosis of sepsis. All of these subjects were in the ISI Bands 2 and 3.



ED Return Due to Sepsis (within 7-days)

Cytovale System

Easily Integrated into Existing Lab Workflows

System

3 ft bench space
1-day installation
No calibration required
PM every 90 days

CLIA Moderate

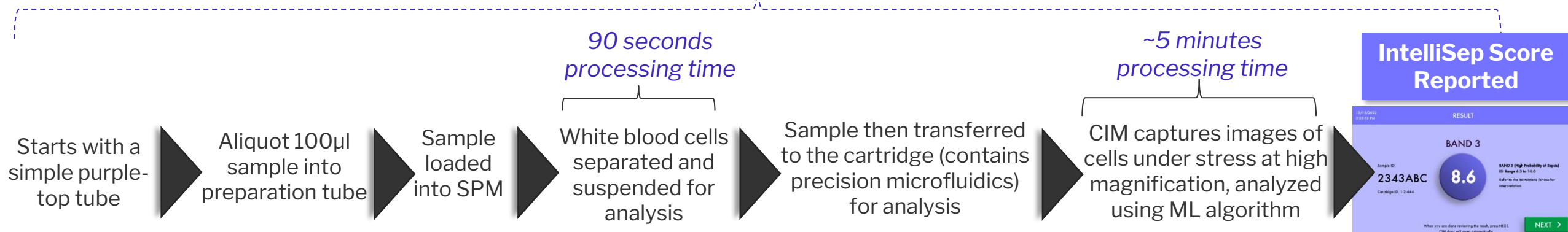


Cytovale System Specifications:

- 1 Sample Preparation Module (SPM):**
16" wide, 15" tall, 18" deep, 40 lbs
- 2 Cell Imaging Module (CIM):**
21.5" wide, 22.5" tall, 24" deep, 170 lbs
- 3 Imaging Analysis Module (IAM):**
7.0" wide, 18.2" tall, 26.5" deep, 65 lbs
- 4 Reagents**
- 5 IntelliSep Cartridge (single-use)**

Process

<10 MINUTES TOTAL





Questions?

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